

Thriving in a Complex Regulatory Environment



Facilitated by
ComplianceAid



November 25 & 26th, 2015
9:00 am – 4:30 pm

Savannah Beach Hotel
Hastings, Christ Church, Barbados

NOVEMBER 25

Morning Session

Opening remarks

Doing business in the fast changing global and complex regulatory environment

Harmonizing regional regulations

Delivered by; Randy Graham, CEO of the Financial Services Commission

Embracing the Corporate & Trust Service Providers Act

Maintaining high standards of conduct, ethics and competence in the provision of corporate and trusts services.

Thriving with adherence to international best practices

Presenter; Arlene Ross

Ethics & Fraud in the Business Environment

Build an awareness of the risk and exposure of businesses to fraud.

Gain an insight as to the profile of a fraudster, and understand the role that ethics plays in preventing fraud

Presenter; Gordon B. Moore,

Afternoon Session

AML Compliance

Developing and Maintaining a Risk Based AML Program:

The Four AML Pillars

Know Your Customer (KYC)
Proper identification and customer due diligence

Identifying Beneficial Owners and Politically Exposed Persons (PEP)

Presenter; Michelle N. Martin

Fraud Risk Assessment and Corporate Governance

Understand the Role Ethics plays in Preventing Fraud.

Identify Values and Norms Necessary for Building a High Integrity organization

Explore Corporate Governance in the Caribbean

Gain an insight into the Impact of the Sarbanes Oxley Legislation on US SEC Registered Companies

The importance of internal control (participants will be given control frameworks with a lot of key controls)

Presenter; Gordon B. Moore,

NOVEMBER 26

Morning Session

Customer Risk Rating and Enhanced Due Diligence (EDD)

Determining the Risk Rating for each customer is a key component of a regulated entity's AML/CFT program. By knowing each customer's risk rating, regulated entities can decide on the appropriate level and frequency of due diligence needed to manage risk High Risk Customers and Enhanced Due Diligence (EDD)

Presenter; Michelle N. Martin

Global Standard for Automatic Exchange of Information -AEOI

Presenter; Jean Morency

Securing our financial information from global predators

Securing information from predators. Backup and Routing. Using cloud services to compete

Presenter; John Rickards

Harmonized Regional Credit Reporting Legislation

Implications of Regional Credit Reporting Legislation. Developing capacity to compete in the global knowledge based frontier

Presenter; Jeremy Stephen

Afternoon Session

Using Information to Improve Management of Risk

Full File Disclosure and Consumer Rights

Using Information to Predict Behavior and Improve Management of Credit Risk

Presenter; Grady Clarke,

Preventing Fraud in Nigeria

Using information to prevent fraud and manage risk in Nigeria. Biometric identity and security solutions that work.

Working together to compete in the global digital economy

Panel Discussion

Embedding New Regulations in Corporate Governance & Business Practices

Panelist for interactive session:

Glenda Medford, Corporate lawyer and former President of BCCI

Arlene Ross, Estate & Trust Practitioner, VP DGM Financial Group

Alex Kravatzky, Chairman of Caribbean Corporate Governance Institute

Drayton J Carter, Accountant Partner Drayton J Carter & Co.

Sasha Shillingford, Barbados Bankers Association

Wrap up

1 day \$385.00 USD

2 day \$750.00 USD

6 CPD hours will be given for each day attended

5% for companies that register 3 or more persons

Registration Information:

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